


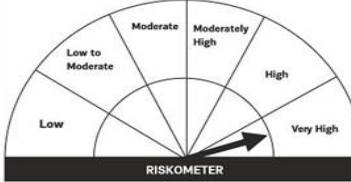
## Key Information memorandum

### Aditya Birla Sun Life Nifty IT ETF

(An open ended exchange traded fund tracking Nifty IT TRI)

NSE Symbol: TECH

BSE Scrip Code: 543472

This product is suitable for investors who are seeking*:		
	Scheme Risk-o-meter	Benchmark Risk-o-meter (Nifty IT TRI)
<ul style="list-style-type: none"> <li>Long term capital growth</li> <li>Investments in stocks comprising the underlying index and endeavors to track the benchmark index</li> </ul>	 <p>RISKOMETER</p> <p>Investors understand that their principal will be at Very High risk</p>	 <p>RISKOMETER</p>

\*Investors should consult their financial advisers if in doubt whether the product is suitable for them.

Continuous Offer for Units at NAV based prices.

<u>NAME OF MUTUAL FUND</u>	<u>NAME OF THE ASSET MANAGEMENT COMPANY</u>	<u>NAME OF THE TRUSTEE COMPANY</u>
<b>ADITYA BIRLA SUN LIFE MUTUAL FUND</b>  One World Center, Tower 1, 17 <sup>th</sup> Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai-400013 Tel: 43568000 Fax No: 43568110 / 8111 Website <a href="http://www.mutualfund.adityabirlacapital.com">www.mutualfund.adityabirlacapital.com</a>	<b>ADITYA BIRLA SUN LIFE AMC LIMITED</b>  One World Center, Tower 1, 17 <sup>th</sup> Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013 Tel: 43568000 Fax No: 43568110 / 8111 CIN: L65991MH1994PLC080811	<b>ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED</b>  One World Center, Tower 1, 17 <sup>th</sup> Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013 Tel: 43568000 Fax No: 43568110 / 8111 CIN: U74899MH1994PTC166755

This Key Information Memorandum (KIM) sets forth the information which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.mutualfund.adityabirlacapital.com](http://www.mutualfund.adityabirlacapital.com).**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated November 29, 2024

**Aditya Birla Sun Life Nifty IT ETF**

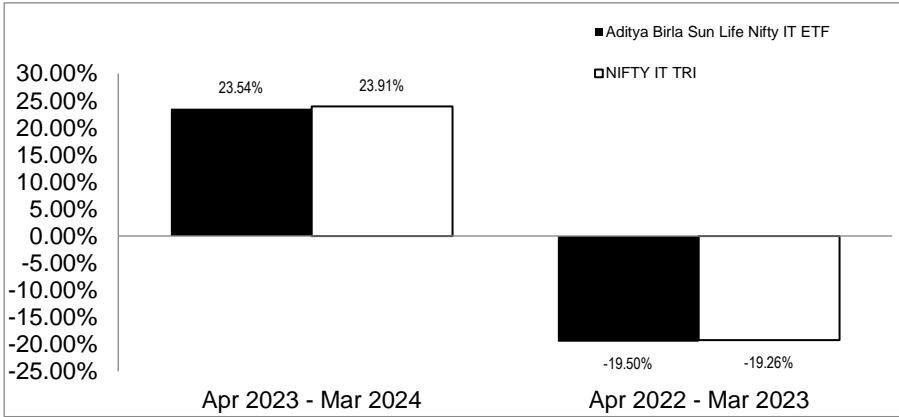
<b>Name of the Scheme</b>	Aditya Birla Sun Life Nifty IT ETF																																																					
<b>Type of the Scheme</b>	An open ended exchange traded fund tracking Nifty IT TRI																																																					
<b>Scheme Code</b>	ABSL/O/O/OET/21/07/0098																																																					
<b>Scheme Category</b>	Exchange Traded Fund (ETF)																																																					
<b>Investment Objective</b>	<p>The investment objective of the scheme is to provide returns that before expenses, closely correspond to the total returns of securities as represented by Nifty Information Technology TRI, subject to tracking errors. However, the performance of scheme may differ from that of the underlying index due to tracking error.</p> <p><b>The Scheme does not guarantee/indicate any returns. There is no assurance that the investment objective of the Scheme will be achieved.</b></p>																																																					
<b>Asset Allocation Pattern of the scheme</b>	<p>Under normal circumstances, the asset allocation of the Scheme will be as follows</p> <table border="1" data-bbox="432 607 1342 831"> <thead> <tr> <th rowspan="2">Instruments</th> <th colspan="2">Indicative Allocations (% of total Assets)</th> </tr> <tr> <th>Minimum</th> <th>Maximum</th> </tr> </thead> <tbody> <tr> <td>Equity &amp; Equity related instruments constituting Nifty IT TRI</td> <td>95%</td> <td>100%</td> </tr> <tr> <td>Cash, Money Market &amp; Debt instruments</td> <td>0%</td> <td>5%</td> </tr> </tbody> </table> <p><b>Indicative Table</b> (Actual instrument/percentages may vary subject to applicable SEBI circulars)</p> <table border="1" data-bbox="432 943 1337 1989"> <thead> <tr> <th>Sr. no</th> <th>Type of Instrument</th> <th>Percentage of exposure</th> <th>Circular references</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>Securities Lending</td> <td>(i) Not more than 20% of the net assets of the Scheme can be deployed in Stock Lending; and (ii) Not more than 5% of the net assets of the Scheme can be deployed in Stock Lending to a single intermediary level.</td> <td>Para 12.11 of SEBI Master Circular dated June 27, 2024.</td> </tr> <tr> <td>2.</td> <td>Debt instruments having Structured Obligations / Credit Enhancements</td> <td>The Scheme will not invest in Debt instruments having Structured Obligations / Credit Enhancements.</td> <td>N.A.</td> </tr> <tr> <td>3.</td> <td>Instruments having special features</td> <td>The Scheme will not invest in instruments having special features</td> <td>N.A.</td> </tr> <tr> <td>4.</td> <td>Securitized Debt</td> <td>The Scheme will not invest in securitized debt.</td> <td>N.A.</td> </tr> <tr> <td>5.</td> <td>Short Selling</td> <td>The Scheme will not invest in Short Selling</td> <td>N.A.</td> </tr> <tr> <td>6.</td> <td>Certificate of Deposits</td> <td>The Scheme will not invest in Certificate of Deposits</td> <td>N.A.</td> </tr> <tr> <td>7.</td> <td>Overseas Securities</td> <td>The Scheme will not invest in Overseas securities/ADR/GDR.</td> <td>N.A.</td> </tr> <tr> <td>8.</td> <td>Equity Derivatives for non-hedging purposes</td> <td>The gross position to such derivatives will be restricted to 5% of net assets of the scheme for hedging and portfolio rebalancing.</td> <td>Para 12.25 of SEBI Master Circular dated June 27, 2024.</td> </tr> <tr> <td>9.</td> <td>Credit Default Swaps</td> <td>The Scheme will not invest in Credit Default Swaps.</td> <td>N.A.</td> </tr> </tbody> </table>			Instruments	Indicative Allocations (% of total Assets)		Minimum	Maximum	Equity & Equity related instruments constituting Nifty IT TRI	95%	100%	Cash, Money Market & Debt instruments	0%	5%	Sr. no	Type of Instrument	Percentage of exposure	Circular references	1.	Securities Lending	(i) Not more than 20% of the net assets of the Scheme can be deployed in Stock Lending; and (ii) Not more than 5% of the net assets of the Scheme can be deployed in Stock Lending to a single intermediary level.	Para 12.11 of SEBI Master Circular dated June 27, 2024.	2.	Debt instruments having Structured Obligations / Credit Enhancements	The Scheme will not invest in Debt instruments having Structured Obligations / Credit Enhancements.	N.A.	3.	Instruments having special features	The Scheme will not invest in instruments having special features	N.A.	4.	Securitized Debt	The Scheme will not invest in securitized debt.	N.A.	5.	Short Selling	The Scheme will not invest in Short Selling	N.A.	6.	Certificate of Deposits	The Scheme will not invest in Certificate of Deposits	N.A.	7.	Overseas Securities	The Scheme will not invest in Overseas securities/ADR/GDR.	N.A.	8.	Equity Derivatives for non-hedging purposes	The gross position to such derivatives will be restricted to 5% of net assets of the scheme for hedging and portfolio rebalancing.	Para 12.25 of SEBI Master Circular dated June 27, 2024.	9.	Credit Default Swaps	The Scheme will not invest in Credit Default Swaps.	N.A.
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<p>Exposure to equity derivatives of the index itself or its constituent stocks may be undertaken when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period. The gross position to such derivatives will be restricted to 5% of net assets of the scheme for hedging and portfolio rebalancing.</p> <p>Money Market Instruments include commercial papers, commercial bills, treasury bills, call or notice money, certificate of deposit, Tri-party Repo on Government securities or treasury bills and any other like instruments as specified by the Reserve Bank of India from time to time with maturity of upto 91 days and Government securities having an unexpired maturity upto one year.</p> <p>Investment in Debt instruments (for liquidity purpose) will be of less than 1-year residual maturity.</p> <p>The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. Under normal circumstances, such tracking errors are not expected to exceed 2% per annum subject to compliance with provision 3.6.3.1 of SEBI Master Circular on Mutual Funds dated June 27, 2024. However, this may vary when the markets are very volatile,</p> <p>In accordance with para 5.2 and 5.8.2.1 of para 5.8 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the cumulative gross exposure through equity, debt and equity derivative positions and such other securities/assets as may be permitted by the Board from time to time should shall not exceed 100% of the net assets of the scheme.</p> <p>Money Market Instruments include commercial papers, commercial bills, treasury bills, Government securities having an unexpired maturity upto one year, call or notice money, certificate of deposit, usance bill and any other like instruments as specified by the Reserve Bank of India from time to time subject to regulatory approvals, if any. Investment in Debt instruments (for liquidity purpose) will be of less than 1-year residual maturity.</p> <p>The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. Under normal circumstances, such tracking errors are not expected to exceed 2% per annum subject to compliance with para 2.8.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024. However, this may vary when the markets are very volatile. There can be no assurance or guarantee that the Scheme will achieve any particular level of tracking error relative to the performance of the Underlying Index.</p> <p>In accordance with para 5.2 and 5.8.2.1 of para 5.8 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the cumulative gross exposure through equity, debt and such other securities/assets as may be permitted by the Board from time to time subject to regulatory approvals, if any shall not exceed 100% of the net assets of the scheme.</p> <p>Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. SEBI vide letter dated November 3, 2021 has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities.</p> <p>The Scheme, in general, will hold all the securities that comprise of underline Index in the same proportion as the index. Expectation is that, over a period of time, the tracking error of the Scheme relative to the performance of the Underlying Index will be relatively low.</p> <p>The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible.</p> <p>If the investments fall outside the asset allocation range given above, the portfolio of the Scheme will be rebalanced within a period of 7 days. The funds</p>			

	<p>raised under the Scheme shall be invested only in securities as permitted by SEBI (Mutual Funds) Regulations, 1996.</p> <p><b>Portfolio Rebalancing</b></p> <p><b><u>Rebalancing due to short term defensive consideration:</u></b></p> <p>Subject to the SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, and political and economic factors. Such changes in the investment pattern will be for short term and defensive considerations as per para 1.14.1.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024. However, due to market conditions, the AMC may invest beyond the range set out above. Such deviations shall normally be for a short-term purpose only not exceeding 7 calendar days, for defensive considerations and the intention being at all times to protect the interests of the Unit Holders.</p> <p><b><u>Rebalancing due to passive breach</u></b></p> <p>Pursuant to provisions of 3.6.7 of SEBI Master Circular on Mutual Funds dated June 27, 2024 rebalancing the portfolio of the Scheme shall be as follows:</p> <ul style="list-style-type: none"> <li>- In case of change in constituents of the index due to periodic review including corporate actions, the portfolio will be rebalanced within 7 calendar days.</li> <li>- Any transactions undertaken in the scheme portfolio in order to meet the redemption and subscription obligations will be done while ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time.</li> </ul> <p>Provided further and subject to the above, any change in the asset allocation affecting the investment profile of the Scheme shall be effected only in accordance with the provisions of sub regulation (15A) of Regulation 18 of the SEBI (MF) Regulations.</p>
<p><b>Investment Strategy</b></p>	<p>The Scheme will be managed passively with investments in stocks in a proportion to the weightage of these stocks in the Nifty IT TRI. The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, taking into account the change in weights of stocks in the index as well as the incremental collections / redemptions in the Scheme. Since the Scheme is an exchange traded fund, the Scheme will only invest in the securities constituting the underlying index. However, due to corporate action in companies comprising of the index, the Scheme may be allocated/allotted securities which are not part of the index. Rebalancing of the scheme shall also be carried out whenever there is a change in the underlying index or any change due to corporate action with respect to the constituents of the underlying index within 7 days. The Scheme may also invest in debt/ money market instruments, in compliance with Regulations to meet liquidity and expense requirements.</p> <p><b>Portfolio Turnover</b></p> <p>The Scheme shall be a passively managed, index linked, open ended, exchange traded fund. It is therefore expected that there would be a number of subscriptions and redemptions on a daily basis through Market Makers and Large Investors. Generally, turnover will depend upon the extent of purchase and redemption of units and the need to rebalance the portfolio on account of change in the composition, if any, and corporate actions of securities included in the Index.</p> <p>The Scheme has no explicit constraints either to maintain or limit the portfolio turnover. It would also be difficult to have any reasonable accuracy in estimating the likely portfolio turnover. However, the fund manager intends to avoid any transactions in the portfolio unless there is any subscription, redemption or change in the underlying Index. Thus, given the structure and objective of the portfolio, the portfolio turnover is likely to be low.</p> <p>A higher churning of the portfolio could attract high transactions of the nature of brokerage, custody charges etc.</p>

<b>Risk Profile of the Scheme</b>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Memorandum (SID) carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:</p> <p>Investments in the Scheme are subject to various risk factors including but not limited to risks associated with: liquidity risk, regulatory risk, passive management of investments, lack of active market, tracking error, redemption risk, investment in Equity and Equity related instruments, investments in Fixed Income Securities such as Price-Risk or Interest-Rate Risk, Credit Risk, Liquidity or Marketability Risk, Reinvestment Risk etc., risk associated with listing of units, risk associated with Securities Lending and Borrowing and risk associated with Creation Of Segregated Portfolio. Different types of securities in which the Scheme would invest as given in the Scheme Information Document/Key Information Memorandum carry different levels and types of risk. The above are some of the common risks associated with investments in various securities. There can be no assurance that a Scheme's investment objective will be achieved, or that there will be no loss of capital. Investment results may vary substantially on a monthly, quarterly or annual basis. Further, the Fund/AMC is not guaranteeing or assuring any returns. Investors may, if they wish, consult their legal, tax, investment and other professional advisors to determine possible legal, tax, financial or other considerations of subscribing to or redeeming Units, i.e. before making a decision to invest/redeem Units.</p> <p><b>Investors in the Scheme are not being offered any guaranteed returns. Please refer to SID for detailed scheme specific risk factors.</b></p>
<b>Plans/Options</b>	<p>Not Available.</p> <p>The AMC/Trustee reserve the right to introduce Plan(s)/Option(s) as may be deemed appropriate at a later date.</p>
<b>Applicable NAV (after the scheme opens for subscriptions and redemptions )</b>	<p>In accordance with para 3.6.2.3 and 8.4, 8.7 of SEBI Master Circular on Mutual Funds dated June 27, 2024, and further amendments if any, thereto, the following cut-off timings shall be observed by Mutual Fund in respect of purchase/ redemption of units of the scheme, and the following NAVs shall be applied in each case:</p> <p><b>For Subscriptions/Purchases/Redemptions/Sales of units directly with Mutual Fund</b></p> <p>On an ongoing basis, only Market Makers (in Creation Unit Size) and Large Investors (with Minimum application amount of Rs. 25 Crores and in multiples of Creation Unit Size) may approach the Fund directly for subscription/redemption of units of the ETF on all Business Days.</p> <p>The creation/redemption of units would be based on Portfolio deposit and the applicable cash component for the respective business day on which such creation/redemption of units are made and the deposit and cash are credited to the Scheme's account. The Fund may also allow Cash (through RTGS / Transfer / Cheque) subscription /redemption in creation unit size by Market Makers and for large investors having execution value greater than Rs. 25 crores.</p> <p>The NAV shall be declared in accordance with the provisions as mentioned in the SID.</p> <p>Further, pursuant to SEBI letter dated April 26, 2024, the said threshold limit of INR 25 crore for direct transaction in the units of the ETFs with the AMC shall not be applicable for the below mentioned category of investors till October 31, 2024:</p> <ol style="list-style-type: none"> <li>Schemes managed by Employee Provident Fund Organisation, India</li> <li>Recognised Provident Funds, approved gratuity funds and approved superannuation funds under Income tax act, 1961</li> </ol> <p>For all direct transactions in units of ETFs by MMs or other eligible investors (only for large investors meeting threshold of Rs. 25 Cr.) with AMCs shall be at intra-day NAV based on the actual execution price of the underlying portfolio.</p> <p><b>For transactions done on the stock exchange</b></p> <p>An investor can buy/sell Units on a continuous basis on the NSE and BSE on which the Units are listed during the trading hours on all trading days.</p> <p>Therefore, the provisions of Cut-off timing for subscriptions/redemptions will not be applicable.</p>

Aditya Birla Sun Life Nifty IT ETF

<p><b>Minimum Application Amount/ Number of Units</b></p>	<p><b>During Ongoing Offer period:</b>  <b>For Subscription / Redemption of units directly with Mutual Fund:</b></p> <ul style="list-style-type: none"> <li>Subscription / Redemption facility directly with the Mutual Fund would be restricted to Market Makers and Large Investors.</li> <li>Units of the Scheme may be subscribed to / redeemed only in Creation Unit size &amp; in multiples thereof. Large investors can transact directly with the Fund for an amount greater than INR 25 crores.</li> <li>Market Makers and Large Investors may subscribe to/redeem the units of the Scheme on any business day directly with the Mutual Fund at applicable intra-day NAV and transaction charges, if any, by depositing/receiving stocks comprising the benchmark index and/or cash, value of which is equivalent to Creation Unit size.</li> <li>The Creation Unit size in case of Aditya Birla Sun Life Nifty IT ETF shall be 10,000 units and in multiples thereof.</li> </ul> <p><b>For Purchase / Sale of units through Stock Exchange:</b></p> <ul style="list-style-type: none"> <li>All categories of Investors may purchase/sell the units of the Scheme through the Stock Exchange on which the units of the Scheme are to be listed on any trading day in round lot of 1 (one) Unit at the prevailing listed price.</li> </ul> <p><b>No switch-ins/switch-outs shall be allowed under the Scheme on an ongoing basis.</b></p>																								
<p><b>Despatch of Redemption Request</b></p>	<p>Within three working days of the receipt of the redemption request at the official points of acceptance of Aditya Birla Sun Life Mutual Fund.</p>																								
<p><b>Benchmark Index</b></p>	<p>Nifty IT TRI</p>																								
<p><b>IDCW Policy</b></p>	<p>IDCW will be declared subject to availability of distributable surplus and at the discretion of the AMC/Trustee. On payment of IDCW, the NAV will stand reduced by the amount of IDCW.</p>																								
<p><b>Name of the Fund Manager</b></p>	<p>Mr. Rupesh Gurav</p>																								
<p><b>Name of the Trustee Company</b></p>	<p>Aditya Birla Sun Life Trustee Private Limited</p>																								
<p><b>Performance of the scheme :</b></p>	<p><b>PERFORMANCE OF THE SCHEMES AS AT SEPTEMBER 30, 2024</b></p> <table border="1" data-bbox="432 1193 1345 1373"> <thead> <tr> <th>Returns</th> <th>Last 1 Year</th> <th>Last 3 years</th> <th>Last 5 years</th> <th>Since Inception</th> </tr> </thead> <tbody> <tr> <td>Aditya Birla Sun Life Nifty IT ETF Inception - October 29, 2021</td> <td>33.85</td> <td>-</td> <td>-</td> <td>8.59</td> </tr> <tr> <td>NIFTY IT Total Return Index</td> <td>34.47</td> <td>-</td> <td>-</td> <td>8.99</td> </tr> </tbody> </table> <p><b>Note:</b> Past performance may or may not be sustained in future  Returns are in % and absolute returns for period less than 1 year &amp; CAGR for period 1 year or more. Load and taxes not considered.  For IDCW option, the returns would assume reinvestment of IDCW, net of distribution taxes, if any.</p> <p><b>II. ABSOLUTE RETURNS FOR EACH FINANCIAL YEAR (FY APR-MAR )</b></p>  <table border="1" data-bbox="432 1592 1334 2007"> <caption>Absolute Returns for Each Financial Year (FY APR-MAR)</caption> <thead> <tr> <th>Financial Year</th> <th>Aditya Birla Sun Life Nifty IT ETF</th> <th>NIFTY IT TRI</th> </tr> </thead> <tbody> <tr> <td>Apr 2023 - Mar 2024</td> <td>23.54%</td> <td>23.91%</td> </tr> <tr> <td>Apr 2022 - Mar 2023</td> <td>-19.50%</td> <td>-19.26%</td> </tr> </tbody> </table>	Returns	Last 1 Year	Last 3 years	Last 5 years	Since Inception	Aditya Birla Sun Life Nifty IT ETF Inception - October 29, 2021	33.85	-	-	8.59	NIFTY IT Total Return Index	34.47	-	-	8.99	Financial Year	Aditya Birla Sun Life Nifty IT ETF	NIFTY IT TRI	Apr 2023 - Mar 2024	23.54%	23.91%	Apr 2022 - Mar 2023	-19.50%	-19.26%
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<p><b>Additional Scheme Related Disclosures</b></p>	<p><b>i. Scheme’s portfolio holdings</b> i.e. Top 10 holdings by issuer and fund allocation towards various sectors Kindly refer for details <a href="https://mutualfund.adityabirlacapital.com/forms-and-downloads/disclosures">https://mutualfund.adityabirlacapital.com/forms-and-downloads/disclosures</a></p> <p><b>ii. Portfolio Disclosure - Fortnightly / Monthly/ Half Yearly</b> Kindly refer for details <a href="https://mutualfund.adityabirlacapital.com/forms-and-downloads/portfolio">https://mutualfund.adityabirlacapital.com/forms-and-downloads/portfolio</a></p> <p><b>iii. Portfolio Turnover Rate:</b> 0.46</p> <p><b>iv. Aggregate investment in the Scheme by Concerned scheme’s Fund Manager(s) as at September 30, 2024:</b></p> <table border="1" data-bbox="432 658 1283 871"> <thead> <tr> <th rowspan="2">Sr. No.</th> <th rowspan="2">Scheme’s Fund Manager</th> <th colspan="2">Net Value</th> <th rowspan="2">Market Value ( in Rs.)</th> </tr> <tr> <th>Units</th> <th>NAV per unit (in Rs)</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>Mr. Haresh Mehta</td> <td>-</td> <td>-</td> <td>-</td> </tr> <tr> <td>2.</td> <td>Mr. Pranav Gupta</td> <td>-</td> <td>-</td> <td>-</td> </tr> </tbody> </table> <p>@ Mr. Rupesh Gurav is the Fund Manager of the Scheme w.e.f. November 22, 2024.</p> <p>For any other disclosure w.r.t investments by key personnel and AMC directors including regulatory provisions in this regard, kindly refer SAI.</p> <p><b>v. Investments of AMC in the Scheme :</b> Pursuant to Regulation 25(16A) of the SEBI (MF) Regulations, 1996 and para 6.9 of SEBI Master Circular on Mutual Funds dated June 27, 2024, AMC shall not be required to invest minimum amount as a percentage of AUM in the Scheme. However, the mandatory contribution already made by the AMCs in compliance with the applicable MF Regulations shall not be withdrawn.</p> <p>The AMC may invest in the scheme during the continuous offer period subject to the SEBI (MF) Regulations. As per the existing SEBI (MF) Regulations, the AMC will not charge investment management and advisory fee on the investment made by it in the scheme. The Sponsor, Trustee and their associates may invest in the scheme on an ongoing basis subject to SEBI (MF) Regulations &amp; circulars issued by SEBI and to the extent permitted by its Board of Directors from time to time.</p> <p>Link to view the investment (if any): <a href="https://mutualfund.adityabirlacapital.com/forms-and-downloads/disclosures">https://mutualfund.adityabirlacapital.com/forms-and-downloads/disclosures</a></p>	Sr. No.	Scheme’s Fund Manager	Net Value		Market Value ( in Rs.)	Units	NAV per unit (in Rs)	1.	Mr. Haresh Mehta	-	-	-	2.	Mr. Pranav Gupta	-	-	-
Sr. No.	Scheme’s Fund Manager			Net Value			Market Value ( in Rs.)											
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1.	Mr. Haresh Mehta	-	-	-														
2.	Mr. Pranav Gupta	-	-	-														
<p><b>Load Structure</b></p>	<p><b>Exit Load: NIL</b> The units of the scheme shall be compulsorily traded in dematerialized form, and hence, there shall be no exit load for the units purchased or sold through stock exchanges.</p> <p>However, the investor shall have to bear costs in form of bid/ask spread and brokerage or such other cost as charged by the broker for transacting in units of the Scheme through secondary market.</p>																	
<p><b>Recurring expenses</b></p>	<p>Actual (unaudited) expenses for the financial year ended March 31, 2024: 0.22%</p> <p><b>Maximum estimated permissible expense as a % per annum of daily net assets:</b></p> <table border="1" data-bbox="432 1823 1347 2033"> <thead> <tr> <th>Expense Head</th> <th>% p.a. of daily Net Assets*</th> </tr> </thead> <tbody> <tr> <td>Investment Management &amp; Advisory Fee</td> <td rowspan="2">Upto 1.00%</td> </tr> <tr> <td>Audit fees/fees and expenses of trustees</td> </tr> </tbody> </table>	Expense Head	% p.a. of daily Net Assets*	Investment Management & Advisory Fee	Upto 1.00%	Audit fees/fees and expenses of trustees												
Expense Head	% p.a. of daily Net Assets*																	
Investment Management & Advisory Fee	Upto 1.00%																	
Audit fees/fees and expenses of trustees																		

**Aditya Birla Sun Life Nifty IT ETF**

Custodial Fees	
Registrar & Transfer Agent Fees including cost of providing account statements / redemption cheques/ warrants	
Marketing & Selling Expenses including Agents Commission and statutory advertisement	
Costs related to investor communications	
Costs of fund transfer from location to location	
Cost towards investor education & awareness	
Brokerage & transaction cost pertaining to distribution of units	
Goods & Services Tax on expenses other than investment and advisory fees	
Goods & Services Tax on brokerage and transaction cost ^	
Other Expenses (to be specified as per Reg 52 of SEBI MF Regulations)	
<b>Maximum Total expenses ratio (TER) permissible under Regulation 52 (6) (c)</b>	<b>Upto 1.00%</b>
Additional expenses under Regulations 52(6A)(c)**	Upto 0.05%
Additional expenses for gross new inflows from specified cities #	Upto 0.30%
<p><i>The above estimates for recurring expense are for indicative purposes only and have been made in good faith as per the information available to the AMC based on past experience.</i></p> <p><i>**such expenses shall not be charged to the scheme where the exit load is not levied or applicable.</i></p> <p><i>^ over and above 12 bps and 5bps for cash market and derivatives transactions respectively</i></p> <p><i># These expenses are in abeyance with effect from March 1, 2023 till further notice.</i></p> <p><b>Note:</b></p> <p>(a) The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission which is charged in the Regular Plan.</p> <p>^ In terms of para 10.1.16 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the AMC / Mutual Fund shall annually set apart at least 1 basis points (i.e. 0.01%) on daily net assets of the Scheme within the maximum limit of Total Expense Ratio as per Regulation 52 of the SEBI (MF) Regulations for investor education and awareness initiatives.</p> <p>(b) In terms of para 10.3 of SEBI Master Circular on Mutual Funds dated June 27, 2024, AMC may charge the following Fees and expenses as mentioned below:</p> <p>a. <b>Investment Management and Advisory Fees:</b> AMC may charge GST on investment management and advisory fees to the Scheme in addition to the maximum limit of Total Expense Ratio as prescribed under Regulation 52 of the SEBI (MF) Regulations.</p> <p>b. <b>Other than Investment Management and Advisory Fees:</b> AMC may charge GST on expenses other than investment management and advisory fees to the Scheme within the maximum limit of Total Expense Ratio as prescribed under Regulation 52 of the SEBI (MF) Regulations. Further, GST on Brokerage and transaction cost incurred for execution of trades, will be within the maximum limit of Total Expense Ratio as prescribed under Regulation 52 of the SEBI (MF) Regulations.</p> <p>As per Regulation 52(6)(b) of SEBI (MF) Regulations, the total expense ratio of the scheme including the investment and advisory fees shall not exceed 1.00 per cent of the daily net assets.:</p> <p>(c) Additional expenses not exceeding of 0.30% of daily net assets may be charged to the Scheme, if the new inflows from retail investors^ from beyond top 30 cities* are</p>	



	<p>at least (i) 30% of gross new inflows in the scheme or (ii) 15% of the average assets under management (year to date) of the scheme, whichever is higher.  <sup>^</sup>As per para 10.1 of SEBI Master Circular on Mutual Funds dated June 27, 2024, inflows of amount upto Rs 2,00,000/- per transaction, by individual investors shall be considered as inflows from “retail investor”.</p> <p><i>*Beyond Top 30 (B30) cities shall mean beyond top 30 cities based on Association of Mutual Funds in India (AMFI) data on ‘AUM by Geography - Consolidated Data for Mutual Fund Industry’ as at the end of the previous financial year.</i></p> <p>In case inflows from beyond such cities is less than the higher of (i) or (ii) mentioned above, such additional expense on daily net assets of the scheme shall be charged on proportionate basis in accordance with para 10.1.3 of SEBI Master Circular on Mutual Funds dated June 27, 2024.  <i>Inflows from corporates and institutions from B-30 cities will not be considered for computing the inflows from B-30 cities for the purpose of additional TER of 30 basis points.</i></p> <p>The expense so charged shall be utilized for distribution expenses incurred for bringing inflows from such cities. However, the amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.</p> <p>Note: SEBI vide its letter no. SEBI/HO/IMD-SEC-3/P/OW/2023/5823/1 dated February 24,2023 and AMFI letter dated No. 35P/ MEM-COR/ 85-a/ 2022-23 dated March 02, 2023 has directed AMCs to keep B-30 incentive structure in abeyance with effect from March 01, 2023 till further notice.</p> <p>(d) Brokerage and transaction cost incurred for the purpose of execution of trade shall be charged to the schemes as provided under Regulation 52 (6A) (a) upto 12 bps and 5 bps for cash market transactions and derivatives transactions respectively. In terms of para 10.1.14 of SEBI Master Circular on Mutual Funds dated June 27, 2024, any payment towards brokerage and transaction costs (including GST, if any) incurred for the execution of trades, over and above the said 0.12 per cent and 0.05 per cent for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under Regulation 52 of the SEBI (MF) Regulations.</p> <p>(e) Additional Expenses upto 0.05% of daily net assets as permissible under Regulation 52 (6A) (c) may be charged by AMC under different heads of expenses mentioned under Regulation 52 (2) and (4) and more specifically stated in table above.</p> <p>(f) <b>Maximum Permissible expense:</b> The maximum total expense ratio (TER) that can be charged to the Scheme will be subject to such limits as prescribed under the SEBI (MF) Regulations. The said maximum TER shall either be apportioned under various expense heads as enumerated above, without any sub limit or allocated to any of the said expense head(s) at the discretion of AMC. Also, the types of expenses charged shall be as per the SEBI (MF) Regulations.</p> <p>Investors should note that, all scheme related expenses including commission paid to distributors will necessarily be paid from the Scheme only within the regulatory limits and not from the books of the ABSLAMC, its associate, sponsor, trustee or any other entity through any route.</p> <p>The total recurring expenses of the Scheme excluding issue or redemption expenses, whether initially borne by the Mutual Fund or by the AMC, but including the investment management and advisory fee, shall not exceed the limits as prescribed under Regulation 52 of the SEBI (MF) Regulations.</p>
<b>Tax treatment for the Investors (Unitholders)</b>	Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.
<b>Daily Net Asset Value (NAV) Publication</b>	The NAV will be calculated and disclosed for every Business Day. NAV of the scheme will be calculated up to four decimal places. AMC shall update the NAV on AMFI website

**Aditya Birla Sun Life Nifty IT ETF**

	<p>(www.amfiindia.com) and on the website of the Mutual Fund (www.mutualfund.adityabirlacapital.com) by 11.00 pm on all business days.</p> <p>In case of any delay, the reasons for such delay would be explained to AMFI in writing. If the NAVs are not available before commencement of business hours on the following day due to any reason, Mutual Fund shall issue a press release providing reasons and explaining when the Mutual Fund would be able to publish the NAVs.</p>
<p><b>For Grievances contact</b></p> <p><b>Investor please</b></p>	<ul style="list-style-type: none"> <li>• <b>Contact details for general service requests:</b> Investors may contact the ISCs or the office of the AMC for any queries /clarifications. The Head Office of the AMC will follow up with the respective ISC to ensure timely redressal and prompt investor services.</li> <li>• <b>Contact details for complaint resolution:</b>  Ms. Keerti Gupta can be contacted at the office of the AMC at One World Center, Tower 1, 17th Floor, Jupiter Mills, Senapati Bapat Marg, Elphinstone Road, Mumbai – 400013. Contact Nos: 1800-22-7000 / 1800-270-7000 (Toll free) Email: <a href="mailto:care.mutualfunds@adityabirlacapital.com">care.mutualfunds@adityabirlacapital.com</a></li> </ul> <p><b>Registrar &amp; Transfer Agents</b> Computer Age Management Services Limited (CAMS) Rayala Towers, 158, Anna Salai, Chennai – 600 002. Contact Details: 1800-425-2267 E-mail: <a href="mailto:adityabirlacapital.mf@camsonline.com">adityabirlacapital.mf@camsonline.com</a> Website Address: <a href="http://www.camsonline.com">www.camsonline.com</a></p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stock broker or the investor grievance cell of the respective stock exchange.</p>
<p><b>Unitholders' Information</b></p>	<p>All Applicants whose monies towards purchase of Units have been realised by the Fund will receive a full and firm allotment of Units, provided also the applications are complete in all respects and are found to be in order. Any application for subscription of units may be rejected if found invalid, incomplete or due to unavailability of underlying securities, etc.</p> <p>The asset management company shall issue units in dematerialized form to a unit holder in a scheme within 2 (two) working days of the receipt of request from the unit holder.</p> <p><b>No Account Statements will be issued to investors opted to hold units in electronic (demat) mode, since the statement of account furnished by depository participant periodically will contain the details of transactions.</b></p> <p><b>Portfolio Disclosures</b> In terms of SEBI Regulation, Mutual Funds/ AMCs will disclose portfolio (along with ISIN) as on the last day of the month / half-year for all Schemes on its website <a href="http://www.mutualfund.adityabirlacapital.com">www.mutualfund.adityabirlacapital.com</a> and on the website of AMFI (<a href="http://www.amfiindia.com">www.amfiindia.com</a>) within 10 days from the close of each month/ half-year respectively in a user-friendly and downloadable spreadsheet format. The Mutual Fund/AMCs will send to Unitholders a complete statement of the scheme portfolio, within ten days from the close of each month / half-year whose email addresses are registered with the Mutual Fund. Further, the Mutual Fund / AMC shall publish an advertisement disclosing the hosting of such half yearly scheme portfolio on its website <a href="http://www.mutualfund.adityabirlacapital.com">www.mutualfund.adityabirlacapital.com</a> and on the website of AMFI (<a href="http://www.amfiindia.com">www.amfiindia.com</a>). Mutual Funds/ AMCs will also provide a physical copy of the statement of its scheme portfolio, without charging any cost, on specific request received from a unitholder. <a href="https://mutualfund.adityabirlacapital.com/forms-and-downloads/portfolio">https://mutualfund.adityabirlacapital.com/forms-and-downloads/portfolio</a></p>

	<p><b>Half yearly results</b> Mutual Fund / AMC shall within one month from the close of each half year, (i.e. 31<sup>st</sup> March and on 30<sup>th</sup> September), host a soft copy of its unaudited financial results on its website (<a href="http://www.mutualfund.adityabirlacapital.com">www.mutualfund.adityabirlacapital.com</a>). Further, the Mutual Fund / AMC will publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website.</p> <p><a href="https://mutualfund.adityabirlacapital.com/financials">https://mutualfund.adityabirlacapital.com/financials</a></p> <p><b>Annual report</b> The scheme wise annual report or an abridged summary thereof shall be provided to all Unitholders not later than four months from the date of closure of the relevant accounting year whose email addresses are registered with the Mutual Fund. The physical copies of Scheme wise Annual report will also be made available to the unitholders, at the registered offices at all times. The scheme wise annual report will also be hosted on the website</p> <p><a href="https://mutualfund.adityabirlacapital.com/financials">https://mutualfund.adityabirlacapital.com/financials</a></p> <p><b>Scheme Summary Document</b> The AMC is required to prepare a Scheme Summary Document for all schemes of the Fund. The Scheme Summary document is a standalone scheme document that contains all the applicable details of the scheme.</p> <p>The document is updated by the AMCs on a monthly basis or on changes in any of the specified fields, whichever is earlier. The document is available on the websites of AMC, AMFI and Stock Exchanges in 3 data formats, namely: PDF, Spreadsheet and a machine readable format (either JSON or XML).</p> <p><a href="https://mutualfund.adityabirlacapital.com/forms-and-downloads/disclosures">https://mutualfund.adityabirlacapital.com/forms-and-downloads/disclosures</a></p> <p><b>Risk-o-meter</b> Risk-o-meters shall be evaluated on a monthly basis and Mutual Funds/AMCs shall disclose the Risk-o-meters along with portfolio disclosure for their schemes on AMCs website and on AMFI website within 10 days from the close of each month. Mutual Funds shall also disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on AMCs website and AMFI website.</p> <p><a href="https://mutualfund.adityabirlacapital.com/forms-and-downloads/scheme-risk-o-meter">https://mutualfund.adityabirlacapital.com/forms-and-downloads/scheme-risk-o-meter</a></p> <p><b>Tracking Error and Tracking Difference</b> <b>Tracking Error:</b></p> <p>The Scheme will disclose the tracking error based on past one year rolling data, on a daily basis, on the website of AMC and AMFI. In case the Scheme has been in existence for a period of less than one year, the annualized standard deviation shall be calculated based on available data.</p> <p><b>Tracking Difference</b></p> <p>The tracking difference i.e. the annualized difference of daily returns between the index and the NAV of the Scheme will be disclosed on the website of the AMC and AMFI, on a monthly basis, for tenures 1 year, 3 year, 5 year, 10 year and since the date of allotment of units.</p>
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Notwithstanding anything contained in the Key Information Memorandum, the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Further, investors may ascertain about any further changes from the Mutual Fund/Investor Service Centres / Distributors or Brokers.

Date: November 29, 2024



Place: Mumbai





### Aditya Birla Sun Life Nifty IT ETF

(An open ended exchange traded fund tracking Nifty IT TRI)

<b>This Product is suitable for investors who are seeking*:</b>	<b>Scheme Riskometer</b>	<b>Benchmark Riskometer Nifty IT TRI</b>
<ul style="list-style-type: none"> <li>Long term capital growth</li> <li>Investments in stocks comprising the underlying index and endeavors to track the benchmark index</li> </ul>	 <p>Investors understand that their principal will be at <b>Very High</b> risk</p>	 <p>Benchmark Riskometer is <b>Very High</b> risk</p>

\*Investors should consult their financial advisers if in doubt whether the product is suitable for them

(Please read the instructions before filling up the form. All sections to be completed in english in black / blue coloured ink and in block letters.)

<b>Distributor Name &amp; ARN/ RIA No.</b>	<b>Sub Broker Name &amp; ARN/ RIA No.</b>	<b>Sub Broker Code</b>	<b>Employee Unique ID. No. (EUIN)</b>	<b>Application No.</b>
			E	

<b>Distributor Mobile No.</b>	<b>Distributor Email Id</b>
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Applicable only for Regular Schemes. Please note the Distributor Mobile & Email Id will not be updated in the Broker Master and will be restricted to this transaction only.

EUIN is mandatory for "Advisory Transactions". Ref. Instruction No. 9

I/we hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

First Applicant / Authorised Signatory	Second Applicant	Third Applicant
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Existing Unitholder please fill in your Folio No., Name & Email ID and then proceed to Section 5 (Applicable details and Mode of holding will be as per the existing Folio No.)

<b>Existing Folio No.</b>	<b>GSTIN</b>
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**1. FIRST / SOLE APPLICANT INFORMATION (MANDATORY)** (Refer Instruction No. 2,3,4) Fresh / New Investors fill in all the blocks. (1 to 8) In case of investment "On behalf of Minor", Please Refer Instruction no. 2(ii)

Name of First/Sole Applicant (as per PAN Card)#	<table border="1"> <tr> <td>Mr.</td><td>Ms.</td><td>M/s.</td> <td colspan="24"></td> </tr> </table>	Mr.	Ms.	M/s.																								
Mr.	Ms.	M/s.																										
PAN / PEKRN (Mandatory)	<table border="1"> <tr> <td colspan="10"></td> <td>Date of Birth**</td> <td>D</td><td>D</td> <td>M</td><td>M</td> <td>Y</td><td>Y</td> <td>Y</td><td>Y</td> </tr> </table>											Date of Birth**	D	D	M	M	Y	Y	Y	Y								
										Date of Birth**	D	D	M	M	Y	Y	Y	Y										
CKYC Number	<table border="1"> <tr> <td>(Prefix if any)</td> <td colspan="13">14 digit CKYC Number</td> </tr> </table>	(Prefix if any)	14 digit CKYC Number																									
(Prefix if any)	14 digit CKYC Number																											
Name of the Second Applicant (as per PAN Card)#	<table border="1"> <tr> <td>Mr.</td><td>Ms.</td><td>M/s.</td> <td colspan="24"></td> </tr> </table>	Mr.	Ms.	M/s.																								
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(Prefix if any)	14 digit CKYC Number																											
Name of the Guardian (as per PAN Card)# (In case First / Sole Applicant is minor) / Contact Person - Designation - Poa Holder (In case of Non-individual Investors)	<table border="1"> <tr> <td>Mr.</td><td>Ms.</td><td>M/s.</td> <td colspan="24"></td> </tr> </table>	Mr.	Ms.	M/s.																								
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#The application is liable to get rejected if the applicant/s / guardian name does not match with PAN card

\*\* Date of Birth is Mandatory for all applicants.

**Acknowledgement Slip (To be filled in by the Investor)**

**Aditya Birla Sun Life Nifty IT ETF**

Application No.	Collection Centre / ABSLAMC Stamp & Signature
Received from Mr. / Ms. _____ Date : ____/____/____	
[Please Tick (✓)] Enclosed <input type="checkbox"/> PAN/PEKRN Proof <input type="checkbox"/> KYC Complied	



**KYC DETAILS (Mandatory)**

**OCCUPATION [Please tick (✓)]**

<b>FIRST APPLICANT</b>	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others ..... (please specify)					
<b>SECOND APPLICANT</b>	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others ..... (please specify)					
<b>THIRD APPLICANT</b>	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others ..... (please specify)					

**GROSS ANNUAL INCOME [Please tick (✓)]**

<b>FIRST APPLICANT</b>	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> > 25 Lacs - 1 Crore	<input type="checkbox"/> > 1 Crore	
	Net worth (Mandatory for Non - Individuals) ₹ _____ as on					<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	[Not older than 1 year]
<b>SECOND APPLICANT</b>	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> > 25 Lacs - 1 Crore	<input type="checkbox"/> > 1 Crore OR Net Worth _____	
<b>THIRD APPLICANT</b>	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<input type="checkbox"/> > 25 Lacs - 1 Crore	<input type="checkbox"/> > 1 Crore OR Net Worth _____	

For Individuals				For Non-Individual Investors (Companies, Trust, Partnership etc.)	
	<b>I am Politically Exposed Person</b>	<b>I am Related to Politically Exposed Person</b>	<b>Not Applicable</b>	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: <input type="checkbox"/> Yes <input type="checkbox"/> No (If No, please attach mandatory UBO Declaration)	
<b>Sole/First Applicant</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Foreign Exchange / Money Charger Services <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Second Applicant</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Third Applicant</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No	

**5. PAYMENT DETAILS** Refer Instruction No. 5. (Please mention the application Serial number and the first applicant's name on the reverse of the Cheque. Please ensure there is only one Cheque submitted per application form).

Mode of Payment	<b>[Please tick (✓)]</b>	<input type="checkbox"/> Cheque	<b>Cheque should be drawn favouring "Aditya Birla Sun Life Scheme Name"</b>	
		<input type="checkbox"/> RTGS / NEFT / Fund Transfer Letter	<input type="checkbox"/> Other ..... (please specify)	
Investment Amount (₹)*	<input type="text"/>	Amount in figure(₹)	<input type="text"/>	
Cheque No.	<input type="text"/>	Dated	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	Bank Name & Branch <input type="text"/>
Account No.	<input type="text"/>	UTR No.	<input type="text"/>	

(In case of RTGS/NEFT)

\*To be filled in by investors residing at the location, where the AMC Branches /Collection Bank centres are not located.

**6. DEMAT ACCOUNT DETAILS (OPTIONAL)** (If Demat details are provided, units will be compulsorily given in Demat form only) (Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the depository participant.) Refer Instruction No. 3(B)

NSDL: Depository Participant Name: _____	DPID No.:	<input type="text" value="I"/> <input type="text" value="N"/> <input type="text"/>	Beneficiary A/c No. <input type="text"/>
CDSL: Depository Participant Name: _____	Beneficiary A/c No. <input type="text"/>		

Enclosed:  Client Master  Transaction/ Statement Copy/ DIS Copy

**7. NOMINATION DETAILS (Mandatory)** (Refer Instruction No. 7)

**Nomination Details** Mandatory section for Individuals (Single or Joint)  I/We wish to nominate  I/We do not wish to nominate<sup>55</sup>

Nominee Name	PAN	Relationship with Investor	Date of Birth	Guardian Name and Relationship (In case of Minor)	Allocation %
Nominee 1			<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/>		
Nominee 2			<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/>		
Nominee 3			<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/>		

<sup>55</sup>I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my /our legal heirs would need to submit all the requisite documents issued by court or other such competent authority, based on the value of assets held in my/our folio.

<b>Signature of the 1<sup>st</sup> unitholder</b>	<b>Signature of the 2<sup>nd</sup> unitholder</b>	<b>Signature of the 3<sup>rd</sup> unitholder</b>
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**8. FATCA & CRS INFORMATION [Please tick (✓)]** For Individual Investors including Sole Proprietor (Non Individual Investors should mandatorily fill separate FATCA detail form)

The below information is required for all applicant(s)/ guardian

Address Type:  Residential or Business  Residential  Business  Registered Office (for address mentioned in form/existing address appearing in Folio)

Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India?  Yes  No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Name of Applicant			
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Tax Payer Ref. ID No <sup>^</sup>			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

**9. DECLARATION(S) & SIGNATURE(S)** (Refer Instruction No. 1)

To,

The Trustee,

Aditya Birla Sun Life Trustee Private Limited.

Date	D	D	M	M	Y	Y	Y	Y
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Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

**For Non-Individual Investors:** I/We hereby confirm that the object clause of the constitution document of the entity (viz. MOA / AOA / Trust Deed, etc.), allows us to apply for investment in this scheme of Aditya Birla Sun Life AMC Limited and the application is being made within the limits for the same. I/We are complying with all requirements / conditions of the entity while applying for the investments and I/We, including the entity, if the case may arise so, hereby agree to indemnify ABSLAMC / ABSLMF in case of any dispute regarding the eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity.

**For NRIs only:** I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External/Non-Resident Ordinary/FCNR account. (Refer Inst. No. 6)

I/We confirm that details provided by me/us are true and correct.\*\*

\*\* I have voluntarily subscribed to the on-line access for transacting through the internet facility provided by Aditya Birla Sun Life AMC Limited (Investment Manager of Aditya Birla Sun Life Mutual Fund) and confirm of having read, understood and agree to abide the terms and conditions for availing of the internet facility more particularly mentioned on the website www.adityabirlasunlifemf.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

"I / We acknowledge that the RIA has entered into an agreement with the AMC / MF for accepting transaction feeds under the code. I / We hereby indemnify, defend and hold harmless the AMC / MF against any regulatory action, damage or liability that they may suffer, incur or become subject to in connection therewith or arising from sharing, disclosing and transferring of the aforesaid information."

**FATCA & CRS Declaration:** I/ We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer Inst. No.13)

Signature of First Applicant / Authorised Signatory	Signature of Second Applicant	Signature of Third Applicant
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which the online services are accessed by the user is damaged or lost in any manner whatsoever.

- x) The user is aware of all security risks including possible third party interception of his/her account and the content of his/her account becoming known to third parties. The user accepts that the use of online services is not a secure method of viewing, accepting and transmitting information and that it involves security hazards and the risk of any loss of information or obtaining of information by any third party will be to his/her account and ABSLAMC shall, in no way, be held responsible for the same and this shall not be considered as a breach of its or its constituent company – user confidentiality.
- xi) The user agrees that the use and storage of any information including without limitation, the CIP, account information, transaction activity, account balances and any other information available on the user personal computer is at his/her own risk and is his/her sole responsibility.
- xii) The user shall not interfere with, alter, amend, tamper with or misuse in any manner whatsoever the Online Services and in the event of any damage due to improper or fraudulent use by the user, he / shall be liable in damages to ABSLAMC.
- xiii) In case of any discrepancy in the details of any transaction carried out in respect of the user's Account, the user shall be obliged to intimate ABSLAMC thereof in writing within 10 (ten) days of receipt of the Statement of Account / policy document in respect of the user, failing which the statement / policy will be deemed to be correct and accepted by the user.
- xiv) ABSLAMC is authorized to provide any information or details relating to the user or his/her account to any third person so far as is necessary to give effect to any instructions or to comply with any order of Court or of any competent / statutory authority or as is required under applicable law.
- xv) The user hereby acknowledges that he/she is utilizing this facility at his/her own risk. These risks would, among others, include the following:
  - a) Misuse of Password: The user acknowledges that if any third person obtains access to his/her password such third person would be able to provide transaction request to ABSLAMC. The user shall ensure that the terms and conditions applicable to the use of the password as contained herein are complied with at all times.
  - b) Internet Frauds: The Internet per se is susceptible to a number of frauds, misuse, hacking and other actions, which could affect Instructions to ABSLAMC. Whilst ABSLAMC shall aim to provide security to prevent the same, there cannot be any guarantee from such Internet frauds, hacking and other actions, which could affect Instructions to ABSLAMC. The user shall separately evaluate all risks arising out of the same.
  - c) The technology for enabling the services offered by ABSLAMC could be affected by virus or other malicious, destructive or corrupting code, programme or macro. This could result in delays in the processing of Instructions or failure in the processing of instructions and other such failures and inabilities. The user understands that ABSLAMC disclaims all and any liability, whether direct or indirect, whether arising out of loss of profit or otherwise arising out of any failure or inability by ABSLAMC to honour any user instruction for whatsoever reason. The user understands and accepts that ABSLAMC shall not be responsible for any of the aforesaid risks. The user also accepts that ABSLAMC shall disclaim all liability in respect of the said risks.
- xvi) The user acknowledges having read and understood the Terms and Conditions relating to opening of an account and various services. The user accepts and agrees to be bound by the said Terms and Conditions including those excluding ABSLAMC's liability.
- xvii) The user understands that ABSLAMC may, at its absolute discretion, alter, suspend or terminate any of the services completely or partially without any notice to the Unitholder and without assigning any reasons thereof.
- xviii) The user agrees that at present online services are offered as a privilege services to the users without any charge. However, ABSLAMC may levy any service charges as applicable from time to time in consideration for the services provided herein. However users not consenting to the charge then, may opt out of the CIP facility.
- xix) ABSLAMC reserves the exclusive right to amend the terms and conditions for issue and use of CIP to the users with any prior approval of the user concerned, and thereafter such amended terms and conditions will apply to the user.
- xx) In consideration of ABSLAMC providing the user with the online services, user agrees to indemnify and keep safe, harmless and indemnified ABSLAMC, its constituent companies, their officers, employees, successors and assigns from and against all actions, claims, demands, proceedings, loss, damages, costs, charges and expenses whatsoever which ABSLAMC or its constituent companies may at any time incur,

sustain, suffer or be put to as a consequence of or arising out of the user's use of the said online services.

- xxi) The user hereby indemnifies and agrees to keep ABSLAMC saved, defended, harmless and indemnified for all liabilities, losses, damages and expenses which ABSLAMC may sustain or incur either directly or indirectly as a result of: a) Illegal, unauthorized, fraudulent usage or misuse of the user's CIP to access ABSLAMC's Website; all requests carrying the user's CIP as evidenced by electronic records available at ABSLAMC will be the user's sole responsibility b) Non-compliance of the terms and conditions relating to online services on ABSLAMC's website.
- xxii) The Courts in Mumbai alone shall have jurisdiction over all disputes arising out of or in respect of this arrangement.

## 12. RTGS/ NEFT

Funds Transfer shall be effected only if the recipient/destination Bank/Branch is participating in RTGS/ NEFT.

It is the responsibility of the Investor to ensure the correctness of the message especially the IFSC code of the recipient / destination branch & account number. The collecting bank as well as ABSLMF will get valid discharge if the amount is credited to the account number mentioned in the Application even if the name of the Investor account holder differs. ABSLMF shall not assume any liability or responsibility arising out of or made liable for any incorrect request or message.

If the date of payment happens to be a holiday at the centre where the recipient branch is situated, the credit will be passed on to the Investor on next working day.

ABSLMF shall not be liable for delay in payments to the Investor if:

a. Incorrect and insufficient details are provided.

b. If there is dislocation of work due to circumstances beyond the control of Remitting/ Destination Banks including but not limited to circumstances like non-functioning of computer system, disruption of work due to natural calamities, strike, riot etc or Netware or internet problem or other causes beyond the control of the Branch/bank resulting in disruption of communication, such cases will be settled on the next working day when RTGS/NEFT is functioning properly.

The Investor hereby agrees and undertakes that he is aware of all the RTGS/NEFT rules set by RBI & to abide by all the rules, terms, conditions and administrative guidelines issued or which may be issued by the RBI or any other regulatory authorities applicable to the transactions relating to RTGS/ NEFT whether directly or/and indirectly.

## 13. DETAILS UNDER FATCA & CRS

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

**APPLICATION NOT COMPLETE IN ANY RESPECT ARE LIABLE TO BE REJECTED.**

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