

ADITYA BIRLA SUN LIFE AMC LIMITED

(TRANSCRIPT)

Meeting	-	13 th Extra Ordinary General Meeting
Venue	-	Audio – Visual mode
Day & Date	-	Thursday, 15 th April, 2021
Time	-	4 PM

Sr. No.	Name of Speaker	Particulars
1.	Hemanti Wadhwa	<p>Good Evening everyone.</p> <p>I would like to welcome our respected Board Members, Statutory Auditors, Secretarial Auditors, and all the shareholders at the 13th Extra Ordinary General Meeting of the Company.</p> <p>With the unanimous consent of the Members present I would request Mr. Ajay Srinivasan, Director to act as Chairman of the Meeting.</p> <p>Aditya Birla Capital Limited and Sun Life have consented to hold this meeting on a shorter notice through Audio- Visual facility as per the provisions of the MCA circulars.</p> <p><u>Proceedings of this meeting are being recorded as per Secretarial Standards-2 issued by ICSI.</u></p> <p>There are total 5 Members present at the Meeting attending through Audio-Visual mode:</p> <p>Mr. Amber Gupta – Authorised Representative of ABCL Mr. Sandeep Asthana – Authorised Representative of Sun Life (India) AMC Investments Inc Mr. A Balasubramanian - Nominee Shareholder of ABCL Ms. Pinky Mehta – Nominee Shareholder of ABCL Mr. Parag Joglekar – Nominee Shareholder of ABCL</p> <p>Further other executives and Auditors are also present at the Meeting.</p>

2.	Ajay Srinivasan	<p>Thank you to all our Shareholders. I Welcome the members to the 13th Extra Ordinary General Meeting. The quorum being present, I call this meeting to order.</p> <p>I would now request Hemanti to please take up the items in the EGM notice.</p>
3.	Hemanti Wadhwa	<p>With the permission of the members present, can we take notice of the meeting, circulated earlier, as read?</p> <p>Let me start the Resolutions:</p>
	Item No. 1	<p>I now place the special resolution for the continuation of Directorship of Mr. Bharat Patel (DIN: 00060998) as an Independent Director of the Company till the expiry of his existing term notwithstanding that he had attained the age of 75 years in September 2019.</p> <p>I request the members to propose and second the resolution</p> <p>Proposed by Pinky Mehta</p> <p>Seconded by Sandeep Asthana</p> <p>The resolution is put to vote and unanimously passed.</p>
	Item No. 2	<p>I now place the special resolution for approval of new set of Articles of Association of the Company which is divided into Part B which would be effective till the period the Company is unlisted and would cease to be in operation upon listing of the Company. Part A would be effective from the date of listing of the Company. These Articles have been vetted by legal counsels and are in compliance with requirements of Companies Act, 2013.</p> <p>I request the members to propose and second the resolution</p> <p>Proposed by Sandeep Asthana</p> <p>Seconded by Parag Joglekar</p> <p>The resolution is put to vote and unanimously passed.</p>
	Item No. 3	<p>I now place the special resolution for approval of partial modification of 'Aditya Birla Sun Life AMC Limited Employee Stock Option Scheme 2021' ("Scheme 2021") for the benefit of permanent employees of the Company and Directors of the Company whereby the options and/or RSUs proposed to be issued under the said scheme is increased from 1.50% to 1.60% of the fully diluted paid-up capital of the Company (adjusted for the Sub-division and issue of Bonus Equity Shares approved by the Board vide resolution dated 5th April 2021).</p> <p>I request the members to propose and second the resolution</p>

		<p>Proposed by Amber Gupta Seconded by Parag Joglekar</p> <p>The resolution is put to vote and unanimously passed</p>
	Item No. 4	<p>I now place the special resolution for approval of partial modification the same scheme as in item no 3 which needs to be separately passed as per provisions of Companies Act, 2013 for the benefit of permanent employees and Directors of the Holding Company and/ or the Subsidiary Company/(ies) whether in India or elsewhere.</p> <p>I request the members to propose and second the resolution</p> <p>Proposed by Pinky Mehta Seconded by Amber Gupta</p> <p>The resolution is put to vote and unanimously passed</p>
4.	Chairman	<p>Thank you Ladies and gentlemen on behalf of Aditya Birla Sun Life AMC Limited. I now declare the meeting as concluded. You may now disconnect your call.</p>