# Aditya Birla Sun Life Mutual Fund



Non-Finan	cial ir	ansaction	Form				
Folio No.			Name				
1. UPDATE CONTAC	T DETAILS						
Mobile No.							
Mobile No. provided	pertains to		mily Member ( pendent Paren		o. pertains to Fam nt Children	ily Member please	e select any one)
Email ID							
Email ID provided pe	ertains to	Self Fa	mily Member ( pendent Paren	Note: If Email ID ր tsDepende	pertains to Family nt Children	Member please s	elect any one)
2. Update PAN/KYC	; E	Enclosed herewith:	Photo cop	y of PAN card	Photo copy	of KYC	
11	Applicant/Guardian		2 <sup>nd</sup> Ap	pplicant		3 <sup>rd</sup> Applicant	
3. FATCA & CRS INFORMATI	ON [Please tick (	/)] For Individual Investors in	cluding Sole Proprieto	or (Non Individual Investo	ors should mandatorily fil	seperate FATCA detail for	rm)
Details		1 <sup>st</sup> Applicant	2 <sup>nd</sup> Ap	plicant	3 <sup>rd</sup> Applicant	Gu	uardian/POA
Place & Country of Birth Nationality							
Are you a tax resident of a country other than India	ny other	☐ Yes ☐ No	Yes	□ No  Mandatory to enclose F	Yes No	☐ Ye	es No
country other than mala			ii tes: i	varidatory to enclose F	ATCA / CRS Affilexure		
(If SIP) Bank Name: (If STP) To Scheme: (If SWP) Scheme Name: 5. Change in Mode "Joint "To" Anyone or Sur	of Holding	"Anyone o	or Survivor" To "Joi	nt"	_	_	_
6. Nomination Deta	ils	Registration	Change/M	odification	Cancellation		
			Nomine	ee Details  Relationship with	Name of Guardian	Signature of	Percentage of
	Na	ame & Address of Nominee		Applicant:	(In case of Minor)	Guardian/Nominee	Allocation
Nominee 1							
Nominee 2							
Nominee 2							
Nominee 3  Request for mode of holding	e Distribution	inee change should be mand n cum capital withdraw		the holders.			
Nominee 3  Request for mode of holding  7. Change of Incom	e Distribution	cum capital withdraw	<b>al option</b> nent of IDCW to Pa	yout of IDCW*	*Income Distribution cu	ım capital withdrawal o	ption
Nominee 3  Request for mode of holding  7. Change of Incom  Scheme Name:  Payout of IDCW to Reinve	e Distribution  - estment of IDCW	n cum capital withdraw  y∗ Reinvestr	<b>al option</b> nent of IDCW to Pa	yout of IDCW*		ım capital withdrawal o	· 
Nominee 3  Request for mode of holding  7. Change of Incom  Scheme Name:	e Distribution  - estment of IDCW	n cum capital withdraw  y∗ Reinvestr	<b>al option</b> nent of IDCW to Pa	yout of IDCW*		ANCIAL TRANSACT	TION FORM
Nominee 3  Request for mode of holding  7. Change of Income Scheme Name:  Payout of IDCW to Reinver  Acknowledgement Copy (1)  Folio No.  Received from Mr. / Ms.	e Distribution  estment of IDCW  o be filled in by	reinvestr	al option  nent of IDCW to Pa	yout of IDCW*	NON-FIN	ANCIAL TRANSACT	— →
Nominee 3  Request for mode of holding  7. Change of Income Scheme Name:  Payout of IDCW to Reinver  Acknowledgement Copy (1)  Folio No.  Received from Mr. / Ms.	e Distribution  estment of IDCW  to be filled in by  Update PAN/KYC	reinvestr	nent of IDCW to Pa	yout of IDCW*	NON-FIN	ANCIAL TRANSACT	TION FORM

Aditya Birla Sun Life AMC Limited (Investment Manager to Aditya Birla Sun Life Mutual Fund)
Regn. No.: 109. Regd Office: One World Center, Tower 1, 17th Floor, Jupiter Mills,
Senapati Bapat Marg, Elphinstone Road, Mumbai 400013.
+91 22 4356 7000 | care.mutualfunds@adityabirlacapital.com | www.adityabirlasunlifemf.com | CIN: L65991MH1994PLC080811

Contact Us: 1800-270-7000

adityabirlacapital.com



## Aditya Birla Sun Life **Mutual Fund**



8. Change of Bank Mandate								
Bank Name:								
Bank A/c No:	A/c Type: Saving Curre	ent NRE NRO Other						
MICR CODE: IFSC CODE:								
Enclosed herewith: Cancelled cheque copy	Bank account statement (last three	months)						
Fill-up separate form for Multiple Bank mandate registration	n							
_								
9. Revalidation of Income Distribution cum capital wi	thdrawal option/Redemption Ch	heque						
Cheque no: Cheque Date:  I request to reissue the said warrant after necessary revalida	tion without change in hank Mandate							
I request you to update the above new bank details and make		'RTGS.						
	. ,							
10. Signature and Declaration								
		ment proof enclosed along with the form. I/We also confirmed that I/We have read and						
understood the FATCA & CRS Terms and Conditions and hereby accept the same. I/we further agree and confirm that in the event there is any discrepancy between the information provided herein and the supporting documents, the AMC/Mutual Fund shall be entitled to reject the form. The AMC/Mutual shall not be liable and/or responsible for any loss or damage that I/We may incur if the form is rejected."								
and an analytic state of the st		ion any todo on damage diace, fromay meaning disconnected occur.						
First Applicant/Guardian	Second Applicant	Third Applicant						

## **TERMS & CONDITIONS**

#### Nomination:

- Unit holder can nominate (in the manner prescribed under the SEBI Regulations), maximum upto 3 person(s) in whom the Units held by him/her shall vest in the event of his/her death. It shall be mandatory to indicate clearly the percentage of allocation / share in favour of each of the nominees against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent. In the event of the Unit holders not indicating the percentage of allocation / share for each of the nominees, the AMCs, by invoking default option shall settle the claim equally amongst all the nominees.
- Nomination made by unit holder shall be applicable for investments in all the Schemes in the folio or account and every new nomination for a folio or account will overwrite the existing nomination. Thus, a new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination
- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly in favour of one or more persons. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination form cannot be signed by Power of attorney (PoA) holders
- In case a folio has joint holders, all joint holders should sign the request for nomination / cancellation of nomination, even if the mode of holding is not "joint".
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. The Applicant is advised that, in case of Single Holding, the Guardian to a Minor Nominee should be a person other than the Applicant.
- $Nomination \ can \ also \ be \ in favour \ of \ the \ Central \ Government, \ State \ Government, \ a \ local \ authority, \ any \ person \ designated \ by \ virtue \ of \ these \ offices \ or \ a \ religious \ or \ charitable \ trust.$
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination shall not be allowed in a folio/account held on behalf of a minor.
- viii)
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir.
- xi) The cancellation of nomination can be made only by those individuals who hold units on their own behalf single or jointly and who made the original nomination.
- xii) On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- The nomination details as registered with the Depository Participant shall be applicable to unit holders who have opted to hold units in Demat mode.
- Nomination shall be mandatory for new folios/accounts opened by individuals especially with sole/single holding and no new folios/accounts for individuals in single holding shall be opened without nomination. However, in case investors do not wish to nominate must sign separately confirming their non-intention to nominate, failing which the form may be rejerdiscretion of the AMC/Mutual Fund.

DETAILS UNDER FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information

### Change of Bank Mandate

A change of bank mandate request should be submitted along any one of the below mentioned

- "Cancelled" original personalised cheque leaf\*\* (bearing account number and first named unit holder on the face of the cheque). Unit holders should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse
- Bank account statement or Pass Book with current entries not older than 3 months having the name and address of the first unit holder and account number
- A letter from the bank on its letterhead certifying the name of bank account holder(s), a information like bank account number, bank branch, account type, and optionally the MICR code of the branch & IFSC Code (where available).

#### Note: Unit holders are requested to submit the above documents for New Bank Mandate in Original at any of the Investor Service Centre (ISC) of Mutual Fund.

- Self attested copy(ies) of the above documents in (1) or (2) may be accepted only at AMC branches, provided if originals are produced for physical verification, in which case the original documents will be returned across the counter after due verification.
- In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature, name and bank seal.
- AMC / Mutual Fund reserves the right to carry out additional/alternate validations to ascertain authenticity of change of bank mandate request 4. In the event of a request for change in bank account mandate being invalid / incomplete / not satisfactionry in any respect /or not meeting any requirements to the satisfaction of the AMC/Mutual Fund, the request for such change may not be processed. Redemptions / Income Distribution cum capital withdrawal option payments, if any, will be processed and paid to the last registered bank account information. AMC/Mutual Fund will not be liable in case the redemption / Income Distribution cum capital withdrawal option proceeds are credited to existing bank mandate account upon rejection of change of bank
- Any valid request for bank account mandate will be considered at folio level. Unit holders may note that it is desirable to submit their requests for change in bank details atleast 7 (seven) days prior to date of redemption / Income Distribution cum capital withdrawal option payment, if any, and ensure that the request for change in bank mandate has been processed before submitting the redemption request. If change in bank mandate has not been processed, payment will be made in the existing bank account registered in the folio. Any unregistered bank account or a new bank account mentioned by the Unit holder along with the redemption request may not be considered for payment of redemption /Income Distribution cum capital withdrawal option proceeds.

