

# Annexure 3

On Transferor MFD's letter head

To,  
CAMS / KFinTech

Dear Sir/ Madam,

**Sub: Application for Transfer of AuM from** ARN \_\_\_\_\_ to ARN \_\_\_\_\_

This is to inform you that I/ we have decided to –

transfer / merge my/our mutual fund distribution business /AUM under ARN \_\_\_\_\_ to \_\_\_\_\_ Name of the Transferee Distributor having ARN \_\_\_\_\_ fully\* / partially\*.

OR

become a sub-distributor of \_\_\_\_\_ Name of the Transferee Distributor and shall be integrating my/our mutual fund distribution business under my ARN \_\_\_\_\_ into his/her/their ARN \_\_\_\_\_.

In this regard, please find attached herewith my/our application for transferring my/our AUM under my/our ARN \_\_\_\_\_ in respect of mutual fund folios of my/our clients to the ARN \_\_\_\_\_ of \_\_\_\_\_ Name of the Transferee Distributor in the prescribed form addressed to the concerned mutual funds / AMCs listed below along with the requisite supporting documents as prescribed in this regard .

Sr.#	Name of Mutual Fund	Sr.#	Name of Mutual Fund
1		11	
2		12	
3		13	
4		14	
5		15	
6		16	
7		17	
8		18	
9		19	
10		20	

(please attach additional sheet if necessary)

Please acknowledge receipt and take necessary action to effect the change in ARN at the earliest.

Yours sincerely,

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

ARN: \_\_\_\_\_

*\*Delete whichever is not applicable; Partial transfer of AUM is permitted only in case of transfer of AUM from a Principal MFD to a sub-distributor who has decided to exit from the tie-up with the Principal MFD.*