

MUTUAL FUNDS

Aditya Birla Sun Life Mutual Fund



PROTECTING INVESTING FINANCING ADVISING

Distributor Smart Investment Plan Form

(Authorization by ARN holder for investment in the schemes of Aditya Birla Sun Life Mutual Fund)

(Please read the terms and conditions before availing this facility. All details in the form are mandatory. Please write in Capital Letters)

APPLICATION TYPE

 New Change Request Cancellation

Please (✓) any one. In the absence of indication of the option the form is liable to be rejected.

I. DISTRIBUTOR (ARN HOLDER) DETAILS (First/ Sole Applicant)

ARN NUMBER

Folio No.

ARN NAME

II. INVESTMENT DETAILS

I hereby authorize Aditya Birla Sun Life AMC Limited to deduct and invest the commission ("brokerage") payable as selected (✓) below.

In Percentage 5% 10% 20% 50% 100% Others _____% OR In Rupees: Rs. _____

I wish to subscribe in the following Scheme/Plan/Option/Sub Option.

Scheme/Plan/Option/Sub Option

Enrolment Period From:

To:

First/ Sole Unit holder

Second Unit holder

Third Unit holder

Date Place

TERMS AND CONDITIONS

- Distributor Smart Investment Plan is a facility wherein empanelled Distributor(s) (ARN Holder(s)) may opt to transfer an amount of commission at regular intervals to eligible Scheme(s) of Aditya Birla Sun Life Mutual fund (ABSLMF) and that ABSL AMC will be authorized to transfer the amount of commission based on an agreed predetermined percentage of the commission payable (post deduction of taxes, if any and clawback).
- All open ended schemes are eligible under the facility.
The DSIP facility is available only for units to be held in Non - demat mode.
- The DSIP Mandate form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (□), where boxes have been provided. The DSIP Mandate Form complete in all respects, should be submitted at any of the Investor Service Centres (ISC's) of ABSL Mutual Fund.
- The transfer amount cannot be less than the minimum subscription amount specified under the scheme. If the amount is less than the minimum subscription under the Scheme then such amount will be paid to the Distributor(ARN Holder). Investment in schemes having lock in period will be locked as per the lock in period of the scheme.
- Distributor should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the eligible Scheme will be as per the existing folio number. Units will be allotted under the same folio number. In case there is no existing folio the distributor needs to fill the common application form for creation of folio and complete all other formalities which are required for creation of folio. Bank details for ARN and folio can be different and a separate request needs to be provided in case of any change in either of it.
- One time registration process will be done for distributors who intend to invest a portion of their commission for the investment in eligible Scheme.
- All such investments will be treated as "Direct".
- This facility will be triggered only when the commission payout is to be effected to the distributor.
- The subscription in the scheme will be made within 3 business days from the date of commission payout.
- The percentage/ amount of commission to be invested will remain the same every month unless the distributor opts to change.
- The percentage of investments will be computed on the Net commission payable. Units will be allotted in that month in which commission is paid.
- DSIP facility will be enabled to distributors who are KYC complied. Distributors, whose KYC status is 'pending' or 'failed', for whatever reasons, will not be eligible for such investments.
- The distributor can modify the terms (i.e. percentage of brokerage and the Plan / Option / Sub-option) prospectively by submitting a request at least 7 working days before the month end.
- The distributor can cancel this facility by giving 30 days written notice to any of our Investor Service Centres. For cancellation request, please mention the start date as mentioned at the time of registration.
- How does the DSIP work?
Investment details provided in Percentage terms:
For example, if a distributor opts for 25% of brokerage to be invested and the total brokerage for a month is Rs. 10,000 upfront (+) Rs. 20,000 trail (+) Rs. 15,000 advance trail (-) Rs. 5,000 clawback (-) Rs. 1,000 applicable Tax (If any), the amount to be invested will be 25% of 39,000 i.e. Rs. 9,750.
Investment details provided in Rupee terms: The amount mentioned by the distributor under section II of the form shall be invested. However, it may be noted that if the absolute amount to be invested mentioned is lower than the total brokerage for the month, no amount shall be invested for the month.
- In the event of any delay caused in transferring the amount of commission as mandated by the Distributor, the AMC along with their directors, employees and representatives shall not be liable for any errors, damages or losses arising out of or in connection with the said transaction.
- The AMC along with their directors, employees and representatives shall not be liable for any errors, damages or losses arising out of or in connection with the transactions undertaken by distributors through this facility.
- ABSL Mutual Fund / ABSL AMC reserve the right to change/modify the terms and conditions of DSIP facility or withdraw the DSIP facility at a later date.

ACKNOWLEDGEMENT SLIP (To be filled in by the Distributor (ARN Holder))

Date : _____

Folio No. _____

Received from ARN Name _____ ARN No. _____

Distributor Smart Investment Plan Form for transfer of amount to

Scheme/ Plan/ Option /Sub Option _____

ISC Stamp & Signature