

Non-Financial Transaction Form

Folio No.

Name

1. UPDATE CONTACT DETAILS

Mobile No.

This mobile number pertains to (Mandatory):

☐ Self

☐ Spouse

☐ Dependent Children

☐ Dependent Parents

☐ Dependent Siblings

☐ Guardian

☐ POA

☐ PMS

☐ Custodian (for FPIs only)

Email ID

This email id pertains to (Mandatory):

☐ Self

☐ Spouse

☐ Dependent Children

☐ Dependent Parents

☐ Dependent Siblings

☐ Guardian

☐ POA

☐ PMS

☐ Custodian (for FPIs only)

2. Update PAN/KYC

Enclosed herewith:

☐ Photo copy of PAN card

☐ Photo copy of KYC

1st Applicant/Guardian

2nd Applicant

3rd Applicant

3. FATCA & CRS INFORMATION

[Please tick (✓)] For Individual Investors including Sole Proprietor (Non Individual Investors should mandatorily fill seperate FATCA detail form)

Details

1st Applicant

2nd Applicant

3rd Applicant

Guardian/POA

Place & Country of Birth

Nationality

Are you a tax resident of any other country other than India

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

If Yes: Mandatory to enclose FATCA / CRS Annexure

4. Cancellation of SIP/STP/SWP

Scheme Name:

Installment Amount:

Installment Date:

(If SIP) Bank Name:

Bank A/c:

Installment Date

Installment Amount

(If STP) To Scheme:

Option:

(If SWP) Scheme Name:

Amount:

5. Change in Mode of Holding

☐ "Joint "To" Anyone or Survivor"

☐ "Anyone or Survivor" To "Joint"

6. Change of Income Distribution cum capital withdrawal option

Scheme Name:

☐ Payout of IDCW to Reinvestment of IDCW*

☐ Reinvestment of IDCW to Payout of IDCW*

*Income Distribution cum Capital Withdrawal Option

7. Change of Bank Mandate

Bank Name:

Branch & City:

Bank A/c No:

A/c Type:

☐ Saving ☐ Current ☐ NRE ☐ NRO ☐ Other

MICR CODE:

IFSC CODE:

Enclosed herewith:

☐ Cancelled cheque copy ☐ Bank account statement (last three months)

Fill-up separate form for Multiple Bank mandate registration

Acknowledgement Slip (To be filled in by the Investor)

NON-FINANCIAL TRANSACTION FORM

Folio No.

Received from Mr. / Ms.

Date :

☐ Update of Contact details

☐ Update PAN/KYC

☐ Cancellation of SIP/STP/SWP

☐ Change mode of Holding

☐ Change Bank Details

☐ Change Income Distribution cum Capital Withdrawal Option

☐ Revalidation of Income Distribution cum Capital Withdrawal Option/Redemption Cheque

☐ FATCA/CRS

Collection Centre /
ABSLAMC Stamp & Signature

8. Revalidation of Income Distribution cum capital withdrawal option/Redemption Cheque

Cheque no: _____ Cheque Date: _____ Cheque Amount: _____

☐ I request to reissue the said warrant after necessary revalidation without change in bank Mandate.

☐ I request you to update the above new bank details and make payment in new bank through NEFT/RTGS.

9. Signature and Declaration

I/We hereby declare and confirm that the Information provide in this form is true and correct and is supported by the document proof enclosed along with the form. I/We also confirmed that I/We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. I/we further agree and confirm that in the event there is any discrepancy between the information provided herein and the supporting documents, the AMC/Mutual Fund shall be entitled to reject the form. The AMC/Mutual shall not be liable and/or responsible for any loss or damage that I/We may incur if the form is rejected.

First Applicant/Guardian	Second Applicant	Third Applicant

TERMS & CONDITIONS

DETAILS UNDER FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information

Change of Bank Mandate

A change of bank mandate request should be submitted along any one of the below mentioned documents:

1. "Cancelled" original personalised cheque leaf** (bearing account number and first named unit holder on the face of the cheque). Unit holders should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse
2. Bank account statement or Pass Book with current entries not older than 3 months having the name and address of the first unit holder and account number.
3. A letter from the bank on its letterhead certifying the name of bank account holder(s), along with information like bank account number, bank branch, account type, and optionally the MICR code of the branch & IFSC Code (where available).

Note: Unit holders are requested to submit the above documents for New Bank Mandate in Original at any of the Investor Service Centre (ISC) of Mutual Fund.

1. Self attested copy(ies) of the above documents in (1) or (2) may be accepted only at AMC branches, provided if originals are produced for physical verification, in which case the original documents will be returned across the counter after due verification.
2. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature, name and bank seal.
3. AMC / Mutual Fund reserves the right to carry out additional/alternate validations to ascertain authenticity of change of bank mandate request 4. In the event of a request for change in bank account mandate being invalid / incomplete / not satisfaction in any respect /or not meeting any requirements to the satisfaction of the AMC/Mutual Fund, the request for such change may not be processed. Redemptions / Income Distribution cum capital withdrawal option payments, if any, will be processed and paid to the last registered bank account information. AMC/Mutual Fund will not be liable in case the redemption / Income Distribution cum capital withdrawal option proceeds are credited to existing bank mandate account upon rejection of change of bank mandate request.
5. Any valid request for bank account mandate will be considered at folio level.

Unit holders may note that it is desirable to submit their requests for change in bank details atleast 7 (seven) days prior to date of redemption / Income Distribution cum capital withdrawal option payment, if any, and ensure that the request for change in bank mandate has been processed before submitting the redemption request. If change in bank mandate has not been processed, payment will be made in the existing bank account registered in the folio. Any unregistered bank account or a new bank account mentioned by the Unit holder along with the redemption request may not be considered for payment of redemption /Income Distribution cum capital withdrawal option proceeds.

