Aditya Birla Sun Life Mutual Fund

ADITYA BIRLA CAPITAL

MUTUAL FUNDS

Step-UP Facility in existing Systematic Investment Plan (SIP)

☐ REGISTRATION OF SIP TO STEP-UP ☐ CONVERSION OF STEP-UP TO SIP

UNITHOLDER'S NAME		Folio No.	

DETAILS OF EXISTING SIP INVESTMENT WHERE IN STEP-UP HAS TO BE REGISTERED / CANCELLED			
SIP Frequency (✓):	☐ Weekly ☐ Monthly		
Scheme Name & Plan:			
Option Sub-Option:			
Each SIP amount: ₹		Rupees in words:	

SIP Date: D D SIP Start Month & Year: M M Y Y Y Y SIP End Month & Year: M M Y Y Y Y

SIP STEP-UP DETAILS			
Step Up Frequency	☐ Half Yearly ☐ Yearly	Step Up Amount	☐ 100/- ☐ 500/- ☐ Other (In multiple of 100/-) _____________
Step Up Max Amount		Step up Percentage	☐ 10% ☐ 50% ☐ Others (In multiples of 1%) ______________(Max: 100%)
☐ **Use existing One Time Mandate** (To be filled in case of more than one OTM registration)			
Bank Name		A/c No.	

Signature (s) as per Aditya Birla Sun Life Mutual Fund Records (Mandatory)

Name of First Applicant	Name of Second Applicant	Name of Third Applicant
First Applicant	Second Applicant	Third Applicant

PAN BASED DEBIT MANDATE-ONE TIME MANDATE [Applicable for Lumpsum Additional Purchases as well as SIP Registrations] Please attach a cancelled cheque/cheque copy.

UMRN Date D D M M Y Y Y Y

(tick ✓)
☐ CREATE
☒ MODIFY
☐ CANCEL

Sponsor Bank Code: Office use only Utility Code: Office use only

I/We hereby authorize: ADITYA BIRLA SUN LIFE MUTUAL FUND to debit (tick3) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank A/c No.:

With Bank: Bank & Branch IFSC OR MICR

an amount of Rupees ₹

DEBIT TYPE ☐ ~~Fixed Amount~~ ☑ Maximum Amount

FREQUENCY ☐ ~~Daily~~ ☐ ~~Weekly~~ ☐ ~~Bi-Monthly~~ ☐ ~~Monthly~~ ☐ ~~Quarterly~~ ☐ ~~Half-Yearly~~ ☐ ~~Annually~~ ☑ As & when presented

Reference 1: PAN No: Mobile

Reference 2: Folio No/ Appln No: Email:

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of bank.

PERIOD
From D D M M Y Y Y Y
to 3 0 0 4 2 0 6 6
Maximum period of validity of this mandate is 40 years only

Maximum period of validity of this mandate is 40 years only

1. Sign .... 2. Sign .... 3. Sign ....

Name as in bank records (mandatory) **Name as in bank records (mandatory)** **Name as in bank records (mandatory)**

Declaration: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing Aditya Birla Sun Life Mutual Fund to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to Aditya Birla Sun Life Mutual Fund or the bank where I have authorised the debit. **I/We understand and accept that in case transaction initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instrument Act).**

Acknowledgement Slip (To be filled in by the Investor) **ONE TIME DEBIT MANDATE FORM NACH / AUTO DEBIT**

Application No.

Request for ☐ **Registration** ☐ **Cancellation**

Collection Centre / ABSLAMC Stamp & Signature

Received from Mr. / Ms. ____ Date : _____/_____/__________

A. TERMS & CONDITIONS FOR STEP-UP FACILITY IN EXISTING SYSTEMATIC INVESTMENT PLAN (SIP)

1) This facility will allow investor to opt for STEP-UP in their existing SIP, which are routed through Direct Debit/ECS/SI and NACH mode.

2) Separate form has to be used for each SIP.

   - SIP STEP-UP in NACH/DD/ECS/SI option can be given to the extent of the maximum amount of existing mandate amount linked to the respective SIP.
   - Else the investor will be required to give the new mandate request with enhanced amount with the STEP-UP request.

3) Incase, an investor has more than one existing SIP in a single folio in the same scheme with a single distributor, unless specifically instructed by the investor, the STEP-UP request shall be considered for the first registered SIP.

4) Investors / unit holders subscribing for this facility are required to submit the request at least 30 days prior to the SIP date. STEP UP will be applicable from next effective SIP installment.

5) SIP/ STEP UP will be allowed in Micro SIP folio subject to condition that total investment including SIP STEP UP does not exceed ₹ 50,000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.

   For minor folio, Step-up will be registered till minor attains majority.

6) In case of rejection of new mandate by investor's banker, SIP would be terminated.

7) The investor agrees to avail the STEP UP facility for SIP and authorize his/her bank to execute the NACH for a further increase in installment from his/her designated account.

8) **STEP-UP SIP**

   1. Investors can either opt for fixed amount SIP Step-up or percentage-based SIP Step-Up option. In case investors selects both the options, amount-based SIP Step-Up would be made applicable. In case the investor selects multiple % SIP Step-up options in application under percentage-based SIP Step-Up option, the lower percentage would be considered.
   2. The minimum SIP Step-up amount under fixed amount SIP step-up is ₹100 and in multiples of ₹100. The minimum Step-up percentage would be 10% of the SIP amount and in multiples of 1% thereof.
   3. If the amount derived from Step-up % is in multiples of 1, it will be rounded up to nearest multiple of ₹10.
   4. Percentage SIP Step-up would be computed on the immediately preceding SIP instalment value as on the SIP step-Up trigger date.
   5. Amount based maximum cap will be applicable on the % based setup.
   6. If investor fails to mention the "Step up Max Amt" then step up would continue as per the frequency/step up amt mentioned on the application form considering the OTM max amt.
   7. **Frequency for Step-Up SIP:**
      a. **Half Yearly Step-Up SIP:** Under this option, the amount of investment through SIP installment shall be increased by amount chosen/ designated by Investor post every 6th (sixth) SIP installment.
      b. **Yearly Step-Up SIP:** Under this option, the amount of investment through SIP installment shall be increased by amount chosen/ designated by Investor post every 12th (twelfth) SIP installment.
   8. **Minimum Step-Up SIP Amount:** ₹ 100 and in multiples of ₹ 100 thereafter.
   9. **Minimum Step-Up SIP Percentage:** 10%, and thereafter in multiples of 1%, with the Step-Up amount rounded up to the nearest ₹10.
   10. **Default Step-Up SIP Frequency and amount:** In case the investor fails to specify any frequency or amount for Step-Up SIP, the same shall be deemed as Yearly Step-Up SIP and ₹ 100 respectively and the application form shall be processed accordingly. In case the investor fails to specify both, i.e. the frequency for Step-Up SIP and amount for Step-Up SIP, the application form may be processed as conventional SIP, subject to it being complete in all other aspects.
   11. SIP application form has "Max Cap Amt", Step up will be registered till each SIP amount reaches max cap amt. Further max cap amount would remain constant for continuing future instalments till its SIP End date.
       - OTM max amt and Cap amt should be same, if it is different then which ever is less would be considered as Cap amt.
       - If investor fails to mention the "Max Cap Amt" then step up would continue as per the frequency/step up amt mentioned on the application form considering the OTM max amt.

9) Conversion of Step-Up to SIP

   - Where STEP-UP SIP will be converted to normal SIP , each SIP amount will be the last STEP-UP amount triggered in the STEP-UP registration and continue as normal SIP.
   - Investor / Unit holder subscribing for this facility are required to submit the request at least 30 days prior to the SIP date.

10) In case the existing registration details mentioned in the form is incorrect, the request would be rejected.