Aditya Birla Sun Life Mutual Fund



PROTECTING INVESTING FINANCING ADVISING

Non-Financial T	ransactio	Name								
		Name								
1. UPDATE CONTACT DETAILS		Desidence as				0.665				
Mobile No.		Residence no.				Office no.				
2. Update PAN/KYC	Enclosed herewith:	Dhata			Dhata	copy of KYC				
	Enclosed herewith:	Photo	copy of PAN card		KYC					
1st Applicant/Guardian:			PAN Number			Update FATCA, CRS & UBO via separate form				
2nd Applicant:					KYC					
3rd Applicant:			PAN Number		KYC					
3. Cancellation of SIP/STP/SW	/P									
	Name:Bank A/c: Nk Name:Bank A/c:						Installment Date:			
	To Scheme:									
(If SWP) Scheme Name:					Amount:					
<b>4.</b> Change in Mode of Holding			_							
"Joint "To" Anyone or Survivor"	,	ne or Survivor" To '								
5. Nomination Details	Registration	Change	/Modification		Cancellati	on				
Particulars	Nomine	Nominee Details Nominee 2				Nominee 3				
Nominee Name										
Address of Nominee Relationship with Applicant:										
Date of Birth (In case of Minor)										
Name of Guardian (In case of Minor) Signature of Guardian/Nominee										
Percentage of Allocation										
Request for mode of holding change and no	ominee change should be ma	andatorily signed by	all the holders.							
6. Change of Dividend Option										
Scheme Name: Dividend Payout To Dividend Reinvest	Divide	nd Reinvest To Div	idend Pavout							
7. Change of Bank Mandate			, <u>,</u>							
Bank Name:			Brai	nch & City	/:					
Bank A/c No:		A/c Type:	Saving Curr	ent	NRE N	RO Other				
MICR CODE:		IFSC CODE:								
	ed cheque copy	Bank account st	atement (last three	months)						
Fill-up separate form for Multiple B	1 17			11011013/						
8. Revalidation of Dividend/Red	demption Cheque									
Cheque no:		:		Chequ	ue Amount:					
I request to reissue the said warra	-	-	-							
I request you to update the above	new bank details and mak	e payment in new	bank through NEFT	RTGS.						
9. Signature and Declaration "I/We hereby declare and confirm that the In	formation provide in this for	m is true and correct	t and is supported by	the docum	ent proof enclos	ed along with the	form. I/we fur	ther agree	and confirm	
that in the event there is any discrepancy be not be liable and/or responsible for any loss of				the AMC/	Mutual Fund sha	all be entitled to re	eject the form.	The AMC/	Mutual sha	
First Applicant/Guardian Seco			nd Applicant				Third Applicant			
cknowledgement Copy (To be filled in						-FINANCIAL T		0		
cknowledgement copy (To be filled in	by the investor)	T T T T			NON					
Folio No.							Collect ABSLAMC :	tion Cent Stamp &		
Received from Mr. / Ms			Da	te :	_//					
Update of Contact details Update PAN/KY	C Cancellation of SIP/STP/S	WP Change mode	of Holding Nomina	tion Ch	ange Dividend Opt	ion				
Change bank details Revalidation of	f Dividend/Redemption Cheque									
Aditya Birla Sun Life AMC Limited (Inve (Formerly known as Birla Sun Life Asse Regd Office: One Indiabulls Centre, Tov Elphinstone Road, Mumbai - 400013   www.adityabirlasunlifemf.com   CIN: L	t Management Company ver 1, 17th Floor, Jupite +91 22 4356 7000   c	Limited) Regn. N r Mill Compound, are.mutualfunds@	√o.: 109. 841, Senapati Ba		,	Us: 70-7000 rlacapital.com		ADITY/	A BIRLA <b>ITAL</b>	

## **TERMS & CONDITIONS**

## Nomination:

- i) Unit holder can nominate (in the manner prescribed under the SEBI Regulations), maximum upto 3 person(s) in whom the Units held by him/her shall vest in the event of his/her death. It shall be mandatory to indicate clearly the percentage of allocation / share in favour of each of the nominees against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent. In the event of the Unit holders not indicating the percentage of allocation / share for each of the nominees, the AMCs, by invoking default option shall settle the claim equally amongst all the nominees.
- ii) Nomination made by unit holder shall be applicable for investments in all the Schemes in the folio or account and every new nomination for a folio or account will overwrite the existing nomination. Thus, a new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination.
- iii) The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly in favour of one or more persons. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination form cannot be signed by Power of attorney (PoA) holders.
- iv) In case a folio has joint holders, all joint holders should sign the request for nomination / cancellation of nomination, even if the mode of holding is not "joint".
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. The Applicant is advised that, in case of Single Holding, the Guardian to a Minor Nominee should be a person other than the Applicant.
- vi) Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of these offices or a religious or charitable trust.
- vii) The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- viii) Nomination shall not be allowed in a folio/account held on behalf of a minor.
- ix) Nomination in respect of the units stands rescinded upon the transfer of units.
- x) Transfer of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir.
- xi) The cancellation of nomination can be made only by those individuals who hold units on their own behalf single or jointly and who made the original nomination.
- xii) On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- xiii) The nomination details as registered with the Depository Participant shall be applicable to unit holders who have opted to hold units in Demat mode.
- xiv) Nomination shall be mandatory for new folios/accounts opened by individuals especially with sole/single holding and no new folios/accounts for individuals in single holding shall be opened without nomination. However, in case investors do not wish to nominate must sign separately confirming their non-intention to nominate, failing which the form may be rejected at the discretion of the AMC/Mutual Fund.

## **Change of Bank Mandate**

A change of bank mandate request should be submitted along any one of the below mentioned documents:

- 1. "Cancelled" original personalised cheque leaf\*\* (bearing account number and first named unit holder on the face of the cheque). Unit holders should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse
- 2. Bank account statement or Pass Book with current entries not older than 3 months having the name and address of the first unit holder and account number.
- 3. A letter from the bank on its letterhead certifying the name of bank account holder(s), along with information like bank account number, bank branch, account type, and optionally the MICR code of the branch & IFSC Code (where available).

## Note: Unit holders are requested to submit the above documents for New Bank Mandate in Original at any of the Investor Service Centre (ISC) of Mutual Fund.

- 1. Self attested copy(ies) of the above documents in (1) or (2) may be accepted only at AMC branches, provided if originals are produced for physical verification, in which case the original documents will be returned across the counter after due verification.
- 2. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature, name and bank seal.
- 3. AMC / Mutual Fund reserves the right to carry out additional/alternate validations to ascertain authenticity of change of bank mandate request 4. In the event of a request for change in bank account mandate being invalid / incomplete / not satisfactionry in any respect / or not meeting any requirements to the satisfaction of the AMC/Mutual Fund, the request for such change may not be processed. Redemptions / dividend payments, if any, will be processed and paid to the last registered bank account information. AMC/Mutual Fund will not be liable in case the redemption / dividend proceeds are credited to existing bank mandate account upon rejection of change of bank mandate request.
- 5. Any valid request for bank account mandate will be considered at folio level.

Unit holders may note that it is desirable to submit their requests for change in bank details atleast 7 (seven) days prior to date of redemption / dividend payment, if any, and ensure that the request for change in bank mandate has been processed before submitting the redemption request. If change in bank mandate has not been processed, payment will be made in the existing bank account registered in the folio. Any unregistered bank account or a new bank account mentioned by the Unit holder along with the redemption request may not be considered for payment of redemption / dividend proceeds.