## Investor Complaints Report

Redressal of Complaints received against Mutual Funds (MFs) for FY April-2017 to March-2018

## Name of Mutual Fund : Aditya Birla Sun Life Mutual Fund Total Number of Folios : 6049280 (Live as on March 31, 2018)

| Complaint<br>Code | Type of Complaint#   | (a) No. of<br>complaints pending | Action on (a) and (b)                                   |                   |            |             |                    |                     |            |            |            |            |
|-------------------|--|----------------------------------|---|-------------------|------------|-------------|--------------------|---------------------|------------|------------|------------|------------|
|                   |  | at the beginning of<br>the year  | (b) No. of<br>Complaints<br>received during the<br>year | Resolved          |            |             |                    | Non<br>Actionable * | Pending    |            |            |            |
|                   |  |                                  |   | Within 30<br>days | 30-60 days | 60-180 days | Beyond 180<br>days |                     | 0-3 months | 3-6 months | 6-9 months | 9-12 month |
| IA                | Non receipt of Dividend on Units   | 2                                | 8   | 10                | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| ΙB                | Interest on delayed payment of<br>Dividend                                     | 0                                | 61  | 61                | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| IC                | Non receipt of Redemption Proceeds   | 0                                | 13  | 13                | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| ID                | Interest on delayed payment of<br>Redemption                                   | 0                                | 36  | 36                | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| II A              | Non receipt of Statement of<br>Account/Unit Certificate                        | 0                                | 0   | 0                 | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| II B              | Discrepancy in Statement of Account  | 7                                | 602   | 608               | 0          | 0           | 0                  | 0                   | 1          | 0          | 0          | 0          |
| II C              | Data corrections in Investor details   | 0                                | 71  | 70                | 1          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| ll D              | Non receipt of Annual<br>Report/Abridged Summary                               | 0                                | 0   | 0                 | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| III A             | Wrong switch between Schemes   | 0                                | 30  | 26                | 0          | 0           | 0                  | 0                   | 4          | 0          | 0          | 0          |
| III B             | Unauthorized switch between<br>Schemes   | 0                                | 0   | 0                 | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| III C             | Deviation from Scheme attributes   | 0                                | 0   | 0                 | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| III D             | Wrong or excess charges/load   | 0                                | 0   | 0                 | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| III E             | Non updation of changes viz.<br>address, PAN, bank details,<br>nomination, etc | 0                                | 14  | 14                | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| IV                | Others**   |                                  |   |                   |            |             |                    |                     |            |            |            |            |
| V                 | Others(Purchase)   | 7                                | 143   | 148               | 0          | 0           | 0                  | 0                   | 2          | 0          | 0          | 0          |
| VI                | Others(SIP)  | 12                               | 624   | 635               | 1          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| VII               | Others(Demat)  | 0                                | 64  | 63                | 0          | 0           | 0                  | 0                   | 1          | 0          | 0          | 0          |
| VIII              | Others(STP)  | 2                                | 80  | 82                | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
| IX                | Others(switch)   | 0                                | 11  | 9                 | 0          | 0           | 0                  | 0                   | 2          | 0          | 0          | 0          |
| Х                 | Others(SWP)  | 0                                | 14  | 14                | 0          | 0           | 0                  | 0                   | 0          | 0          | 0          | 0          |
|                   | Total  | 30                               | 1771  | 1789              | 2          | 0           | 0                  | 0                   | 10         | 0          | 0          | 0          |

\*Non actionable means the complaint that are incomplete / outside the scope of the mutual fund

\*\* If others include a type of complaint which is more than 10% of overall complaint, provide that reason separately

This statement is reviewed and approved by the Trustee's on May 30, 2018